



## ABSTRACT

Photodynamic therapy (PDT) can be an effective treatment for actinic keratosis (AK) as well as selected non-melanoma skin cancers (NMSCs), such as Bowen's disease and superficial basal cell carcinoma. PDT has also demonstrated effectiveness in the management of acne vulgaris. Results from controlled clinical trials have shown the safety and efficacy of PDT for these conditions with the use of different photosensitizers and a wide range of light sources. PDT has been employed effectively as monotherapy and in combination with other topicals and alternate light or laser energy therapies. This article provides expert practical guidance for the use of the newest 5-aminolevulinic acid (ALA) product (ALA 10% gel) plus red light as monotherapy for AKs, NMSC, and acne. Here, information from clinical guidelines and a summary of supporting evidence is provided for each cutaneous condition. The authors also provide detailed guidance for employing ALA 10% gel, a photosensitizer precursor, for each of these applications.

**KEY WORDS:** Photodynamic therapy, 5-aminolevulinic acid gel, red light, actinic keratosis, acne, non-melanoma skin cancer, BF-200 ALA, Ameluz, BF-RhodoLED

# Photodynamic Therapy with 5-aminolevulinic Acid 10% Gel and Red Light for the Treatment of Actinic Keratosis, Non-melanoma Skin Cancers, and Acne: Current Evidence and Best Practices

by NATHALIE C. ZEITOUNI, MDCM, FRCPC; NEAL BHATIA, MD; ROGER I. CEILLEY, MD; JOEL L. COHEN, MD; JAMES Q. DEL ROSSO, DO; ANGELA Y. MOORE, MD; GILLY MUNAVALLI, MD, MHS, FACMS; DAVID M. PARISER, MD, FACP, FAAD; TODD SCHLESINGER, MD; DANIEL M. SIEGEL, MD, MS; ANDREA WILLEY, MD; and MITCHEL P. GOLDMAN, MD

*Dr. Zeitouni is with Medical Dermatology Specialists, University of Arizona COM Phoenix in Phoenix, Arizona. Dr. Bhatia is with Therapeutics Clinical Research in San Diego, California. Dr. Ceilley is with Dermatology PC in West Des Moines, Iowa. Dr. Cohen is with AboutSkin Dermatology and DermSurgery in Greenwood Village, Colorado. Dr. Del Rosso is with JDR Dermatology Research in Las Vegas, Nevada. Dr. Moore is with Arlington Research Center in Arlington, Texas, and Baylor University Medical Center in Dallas, Texas. Dr. Munavalli is with Dermatology, Laser, & Vein Specialists of the Carolinas in Charlotte, North Carolina. Dr. Pariser is with the Department of Dermatology, Eastern Virginia Medical School and Virginia Clinical Research, Inc. in Norfolk, Virginia. Dr. Schlesinger is with the Dermatology and Laser Center of Charleston and the Clinical Research Center of the Carolinas in Charleston, South Carolina, and the Department of Dermatology, SUNY Downstate Health Sciences University and the Brooklyn VA Medical Center in Brooklyn, New York. Dr. Siegel is with Long Island Skin Cancer and Dermatologic Surgery in New York, New York. Dr. Willey is with Surgical and Aesthetic Dermatology in Sacramento, California. Dr. Goldman is with Cosmetic Laser Dermatology, A West Dermatology Company in San Diego, California.*

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Photodynamic therapy (PDT) is a widely used therapeutic modality in dermatology.<sup>1–5</sup> The procedure is most often carried out in the office and it requires three elements<sup>6</sup>: a photosensitizer, a light source, and tissue oxygen. The therapeutic effect is achieved by light activation of a photosensitizing agent, resulting in the aerobic formation of reactive oxygen species (ROS), which irreversibly oxidize essential cellular components, causing apoptosis and necrosis as well as cell death secondary to increased autophagy.<sup>6,7</sup>

Multiple photosensitizers have been used for PDT, with the most common being the

photosensitizer prodrugs 5-aminolevulinic acid (ALA) and methyl-5-aminolevulinate (MAL). ALA and MAL have been used extensively for lesion- and field-directed treatment in patients with AKs,<sup>4,8–11</sup> Bowen's disease, superficial and nodular basal cell carcinoma (BCC), and acne vulgaris.<sup>6,12,13</sup> There are noteworthy differences among the branded photosensitizer prodrugs for PDT, mostly regarding the active ingredient's stability and epidermal penetration.<sup>14</sup> ALA is prone to degradation, and particularly older ALA preparations (e.g., ALA compounded in alcohol, topical creams, or ointments) have a very limited stability. ALA methyl ester (MAL)

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**CORRESPONDENCE:** Nathalie C. Zeitouni, MDCM, FRCPC; Email: nathaliezeitouni@arizona.edu

is less susceptible to degradation, but the ester must be cleaved before ALA can enter the heme biosynthesis pathway to be metabolized to the photosensitizer protoporphyrin IX (PpIX). As a result, MAL induces less PpIX compared to ALA after the same incubation time. In common practice, ALA and MAL are referred to as photosensitizers, and thus the same descriptive term is used in this article. A more recent photosensitizer technology stabilizes ALA within a nanoscale lipid-vesicle gel formulation (BF-200 ALA nanoemulsion gel; Biofrontera Bioscience GmbH, Leverkusen, Germany).<sup>15</sup> This BF-200 10% ALA-HCl nanoemulsion gel formulation remains stable over 24 months. Research has also shown that nanoemulsion BF-200 can enhance the penetration of ALA through the stratum corneum.<sup>14</sup> The BF-200 formulation of ALA (referred to hereafter to ALA 10% gel) was granted marketing authorization by the European Medicines Agency in December 2011 for the treatment of mild and moderate AKs on the face and scalp; the indication was extended to include field cancerization in 2016, superficial and nodular BCC in 2017, and AK on the extremities and trunk/neck in 2020.<sup>16</sup> ALA 10% gel was approved in combination with the BF-RhodoLED® red light lamp (~635nm) (Biofrontera Bioscience GmbH) for treatment of lesion- and field-directed PDT of AKs on the face and scalp by the United States (US) Food and Drug Administration (FDA) in 2016.<sup>17</sup>

Given the widespread use of PDT, both on- and off-label, and the varying protocols regarding its clinical use, it is important for clinicians to understand best practices for its use (for different indications). Dermatology offices often develop custom PDT protocols, including various preparation procedures, debridement measures, photosensitizer incubation, illumination methods, and postcare protocols. Protocols are also often customized according to the various indications (e.g., AK, NMSC, acne) to achieve optimal outcomes in clearing lesions. The goal of this article is to provide detailed guidance, including practice tips and clinical pearls, for the use of ALA 10% gel monotherapy with red-light illumination in patients with AK, NMSC, and/or acne.

## ACTINIC KERATOSIS

Treatment of AK includes lesion- and field-directed approaches. Lesion-directed treatments target individual visible lesions of atypical keratinocytes, while field-directed

treatments treat subclinical surrounding atypical keratinocytes in chronic sun-damaged skin.<sup>18</sup> *Field cancerization* was initially described in 1953 and has been applied to numerous epithelial tissues, including the skin.<sup>19,20</sup> The goal of treatment with field cancerization is to reduce the risk of keratinocyte carcinoma development.<sup>21</sup> Patients with extensive field cancerization can benefit from a combination of field- and lesion-directed treatments.<sup>21</sup> Field-directed therapy is important for treating AKs because of the potential risk of developing cutaneous squamous cell carcinomas (SCC) in the surrounding skin of lesion-directed AK treatments.<sup>21,23</sup> Lesion-directed therapies for AKs include cryotherapy (lesion-directed liquid nitrogen), laser therapy, and curettage. Field-directed therapies include PDT, 5-fluorouracil (5-FU), nonsteroidal anti-inflammatory drugs (diclofenac sodium, piroxicam), chemical peels, and immunomodulators such as imiquimod.<sup>18,24</sup> Ingenol mebutate is no longer marketed in the US. A new topical agent, tirbanibulin, was FDA-approved in the US in December 2020.

Importantly, clinically visible and subclinical lesions may lead directly to SCC, and research indicates that field-directed therapy should be delivered early.<sup>24</sup> Additionally, AKs have been observed to exhibit abnormal growth patterns with varying degrees of downward extension; thus it is important that both the photosensitizer and the light source used for PDT penetrate quickly and treat the full thickness of the epidermis, up to the basement membrane. Achieving this deep penetration is particularly important when treating areas with excessive papillary sprouting.<sup>25</sup>

**Existing guidelines.** In 2016, a clinical consensus guide stated that PDT for AK is highly effective, that the efficacy for head and neck lesions is similar or exceeds other FDA-approved therapies, and that cosmetic outcomes are superior to those of cryotherapy.<sup>3</sup> New guidelines for the treatment of AKs from the American Academy of Dermatology (AAD) were expected in 2020.<sup>26</sup> The Evidence- and Consensus-based (S3) Guidelines for the Treatment of Actinic Keratosis—International League of Dermatological Societies in cooperation with the European Dermatology Forum recommend PDT for patients with AKs and for field-directed treatment.<sup>27</sup> Similarly, the British Association of Dermatologists' guidelines for the care of patients with AKs state that PDT is an effective treatment for confluent AKs in the absence of

invasive disease. Otherwise, confluent AKs in such areas as the scalp are difficult to manage or resistant to treatment. The British Association of Dermatologists also note that PDT has low scarring potential and imparts less risk for poor healing in comparison to other physical therapies in certain sites, such as the lower leg.<sup>28</sup> The European Dermatology Forum has provided guidance on the use of PDT for the treatment of AKs with a focus on photosensitizers and light sources and recommends this treatment (level A recommendation with level 1 quality of evidence).<sup>13</sup> UpToDate also provides detailed recommendations for the treatment of AKs.<sup>29</sup>

**Efficacy of PDT for the treatment of AKs.** Photodynamic therapy with ALA was FDA-approved for lesion-directed AK therapy in 1999.<sup>18,30</sup> It has since been used extensively for both lesion- and field-directed therapy of AKs with consistent efficacy demonstrated in controlled clinical trials.<sup>9,11,31–36</sup> In a meta-analysis that included 641 participants with a total of 2,174 AKs treated with cryotherapy and 2,170 AKs treated with PDT, participants achieved 14 percent greater complete lesion clearance at three months following treatment with PDT. Short-term complete clearance rates for PDT ranged from 69 to 91 percent versus 63 to 88 percent for cryotherapy in the six studies included in this analysis.<sup>37</sup> Results from controlled clinical trials also support the efficacy of PDT as a field-directed therapy for patients with AKs.<sup>9,38–40</sup> Results from comparative clinical studies have also shown PDT to be at least as effective as other approaches to field therapy, including imiquimod,<sup>41–43</sup> chemical skin peels,<sup>44</sup> diclofenac,<sup>41</sup> and 5-FU.<sup>45</sup> However, a large clinical trial in Europe assessed the effectiveness of 5-FU (4–8 weeks), imiquimod (4–8 weeks), ingenol mebutate (3–6 days), and MAL-PDT (1–2 sessions), finding that the probability of remaining free from treatment failure ( $\geq 75\%$  clearance) was significantly higher in patients who had received therapy with 5-FU compared to the other treatments at 12 months post-treatment.<sup>46</sup> PDT has also demonstrated rejuvenating benefits on actinic degeneration and other aging effects of sun damage.<sup>5,9,47–49</sup>

At present, ALA 10% gel is the only photosensitizer approved for both lesion- and field-directed therapy in the US.<sup>17</sup> Results from multiple studies have demonstrated the efficacy of ALA 10% gel for both lesion and field use.<sup>9,11,50–56</sup> A meta-analysis included different AK treatment modalities (ALA-PDT, MAL-PDT,

**TABLE 1.** Steps in the use of photodynamic therapy with 5-aminolevulinic acid 10% gel for the treatment of actinic keratoses.

**PATIENT SELECTION AND EDUCATION**

- PDT can be used for field-directed treatment and is therefore most appropriate for patients who present with multiple AKs.
- The risks and benefits of each type of AK treatment should be discussed with the patient.
- Consider the possible contraindications to PDT, e.g., hypersensitivity to porphyrins or to any component of ALA 10% gel, porphyria, or photodermatoses
- Before treatment, the following should be considered:
  - Inability to avoid or block light exposure to skin on which the photosensitizer has been applied for 24-48 hours after application.
  - Concomitant use with other known photosensitizing agents such as St. John's wort, griseofulvin, thiazide diuretics, sulfonamides, quinolones, and tetracyclines may enhance the phototoxic reaction to PDT.<sup>17</sup> However, photosensitizing agents activated by ultraviolet light (e.g., thiazide diuretics), may not be critical in terms of red-light PDT illumination. Additive phototoxic reactions due to daily ultraviolet exposure might not be a concern if such medication was taken in on stable dose prior treatment without phototoxic reactions. Therefore, this is usually not an issue in clinical practice.
  - Pregnancy or nursing.
  - Belief that posttreatment erythema, crusting, and edema would interfere with the patient's social plans during the healing period.
- Actions prior to treatment:
  - Conduct a physical exam to evaluate for the presence of skin cancers in the expected field of treatment.
    - Lesions suspicious for melanoma, invasive SCC, and non-superficial BCC should be biopsied and treated by other modalities.
  - Valacyclovir or famciclovir should be provided if the patient has a positive history for herpes.
  - Informed written consent should be obtained.
- Prior to PDT, patients typically can use a topical anesthetic, which can also be used for management of postoperative pain.

**SKIN PREPARATION**

- The face is delipidated using an ethyl alcohol- or isopropanol-soaked gauze scrub.
- Hyperkeratotic AKs may be curetted or debrided with medical-grade sandpaper (3M trace preparation tape; 3M, Saint Paul, MN, USA) to improve ALA penetration.

**ALA 10% GEL APPLICATION AND INCUBATION**

- ALA 10% gel is spread 1 mm thick over damaged skin.
  - In clinical practice, the gel is spread thinner than 1 mm thick, allowing a single 2-g tube to accommodate a full face or a full scalp.
  - It should not be used on the eyes, periorbital areas, and oral or intravaginal mucosa.
- The gel is allowed to dry for 10 minutes and is then occluded with an occlusive dressing during the incubation period.
  - In clinical practice, the face is not routinely occluded.
- The FDA recommends a three-hour incubation period for ALA 10% gel.
  - However, a 1- to 1.5-hour incubation period has been shown to be effective in clinical practice.

**ILLUMINATION**

- Immediately after removing occlusion and any remaining gel, illuminate the treatment area with BF-RhodoLED a red-light source with a narrow spectrum around 635 nm that delivers a light dose of approximately 37 J/cm<sup>2</sup> within 10 minutes.
  - Position the lamp head 5 to 8 cm from the skin surface.
  - When an area of 8 × 18 cm is illuminated, the effective treatment area is 6 × 16 cm.
  - Larger areas can be illuminated in several steps.
- Other light sources such as Omnilux red LED devices or other lights may also be used in clinical practice.
- Protective eye equipment must be used by patient, health care providers, and any person present during the illumination period.

**POST-PDT CARE INSTRUCTIONS**

- The patient may use a soothing spray not containing sensitizers like benzocaine to apply daily for seven days after treatment to help manage any burning or discomfort.
- The patient should also use sunscreen, and possibly a skin healing cream for the first one to two days after the procedure.
- The patient should be instructed to avoid sources of blue light, such as digital screens or electronic devices, and ultraviolet light, including extensive sun exposure and tanning beds for two days after PDT treatment.

*Abbreviations:* AK, actinic keratosis; ALA, 5-aminolevulinic acid; BCC, basal cell carcinoma; LED, light-emitting diode; SCC, squamous cell carcinoma; PDT, photodynamic therapy.

imiquimod, cryotherapy, diclofenac, 5-FU, and ingenol mebutate) and analyzed a total of 25 randomized controlled trials (5,562 patients) with the primary endpoint parameter "complete patient clearance."<sup>57</sup> PDT with ALA 10% gel was found to be the most effective treatment in both naïve and network meta-analyses.<sup>57</sup>

Another meta-analysis compared PDT with ALA 10% gel to MAL for lesion-directed therapy. The meta-analysis included 5,988 AK lesions from five randomized controlled trials (with

2,953 patients treated with ALA 10% gel and 3,035 patients treated with MAL).<sup>58</sup> ALA gel 10% achieved significantly higher overall complete clearance rates ( $P=0.01$ ) and three-month complete clearance rates ( $P<0.00001$ ) compared to MAL. The pooled relative risk for recurrence at 12 months for ALA 10% gel was 0.67 ( $P=0.01$ ).<sup>58</sup>

PDT has been combined effectively with other topical agents for field-directed therapy of AKs.<sup>59–62</sup> Meta-analyses that included results from 10 randomized controlled trials in which

PDT was combined with imiquimod, 5-FU, ingenol mebutate, tazarotene, or calcipotriol indicated that the combination of PDT with another topical drug improved AK clearance rates compared to PDT or topical monotherapy.<sup>63</sup> PDT has also been employed for the prevention of AKs and NMSC in organ-transplant recipients. In a small pilot study, 12 high-risk patients were treated with cyclic ALA-PDT at 4- to 8-week intervals for two years. Median reductions in the development of SCCs at 12 and 24 months

**TABLE 2.** Pain management in photodynamic therapy with 5-aminolevulinic acid 10% gel

**PAIN MANAGEMENT**

Pain during illumination may limit the use and effectiveness of PDT as patients may not be able to tolerate multiple treatments or a full treatment session.

Pain intensity during the procedure may be monitored and measured using the 0-10 visual analogue scale score.

The use of a fan, possible short breaks or cold air cooling in illumination can also be used to manage treatment-related pain during PDT.

The patient's skin can be cooled with a stationary and/or handheld fan, cold air cooling and patients are given a stress ball to squeeze

- Fans should be positioned in the treatment room to provide air movement.

Prior to PDT some patients may elect to use a topical anesthetic

For selected patients, pain can be managed with injection of 2-3 cc intralesional plain lidocaine:

- In general, administration of lidocaine with epinephrine should not be used to prolong the action of local anesthetics since associated vasoconstriction may decrease oxygen supply to the skin and compromise the effectiveness of PDT.

Lidocaine cream can be applied immediately post illumination to minimize pain.

*Abbreviation:* PDT, photodynamic therapy.

were 79 percent and 95 percent, respectively.<sup>64</sup> Repeated PDT treatment has also been used as primary prevention for skin dysplasia in renal transplant recipients. In a randomized split-side study of 25 patients with clinically normal skin who received MAL-PDT at six-month intervals for five years, a 63-percent decrease in new AKs was observed in treated skin versus 28 percent in untreated skin at three years of follow-up.<sup>65</sup> More recently, consecutive treatments of daylight PDT showed the potential for preventing new AK and keratinocyte carcinoma in transplant patients. Field cancerization-treated areas showed significantly fewer new lesions with a higher patient preference compared to the cryotherapy control.<sup>66</sup> A recently published systematic review and meta-analysis of 12 studies in transplant patients favored the use of PDT as a preventive measure for both AK and SCC, with PDT demonstrating a lower incidence of new lesions.<sup>67</sup>

**Practical guidance for the use of ALA-PDT in the treatment of AKs.** A summary of the following guidance for the use of ALA 10% gel with red light in the treatment of AKs is provided in Table 1. Pain management is summarized in Table 2.

**Step 1: Patient selection and education.**

Most often, PDT treats a field of skin and is therefore most appropriate for patients who present with multiple AKs. The risks and benefits of each type of AK treatment should be discussed with the patient, and the choice of treatment made on a case-by-case basis. Generally, the advantages of PDT include negligible long-term side effects, reproducible outpatient efficacy, noninvasive procedures, irrelevant patient adherence, insurance coverage by Medicare and other payers, and

treatment of subclinical lesions. Potential risks include increased sensitivity of the skin to light for 24 to 48 hours after treatment and possible side effects in the PDT treatment area for approximately two weeks, including short-term swelling of the skin, scaliness, crusts, blisters, itching, stinging or burning, and (rarely) skin infections.<sup>68</sup>

Practitioners should also consider possible contraindications of PDT before prescribing treatment, including hypersensitivity to porphyrins or to any component of ALA 10% gel, porphyria, or photodermatoses.<sup>17</sup> In addition, practitioners should consider the following before treatment:

- *An inability to avoid or block light exposure to skin on which the PDT drug has been applied for approximately 24 to 48 hours following incubation. Post-PDT, the photosensitizer PpIX may be still activated by the visible spectrum of natural sunlight, thereby photosensitizing effects may proceed after illumination until excessive PpIX is eliminated.*
- *Concomitant use with other known photosensitizing agents. Such agents, including St. John's wort, griseofulvin, thiazide diuretics, sulfonamides, quinolones and tetracyclines, may enhance the phototoxic reaction to PDT.<sup>17</sup> However, photosensitizing agents activated by ultraviolet (UV) light (e.g., thiazide diuretics) may not be critical in terms of red-light PDT illumination. Additive phototoxic reactions due to daily UV-exposure might not be a concern if such medication was taken at a stable dose and as prior treatment without phototoxic reactions. Therefore, this is usually not an issue in clinical practice.*
- *During pregnancy or nursing*

- *Concerns about temporary post-treatment erythema, crusting, and edema interfering with social plans during the healing period*

Before starting PDT, a physical exam should be performed to evaluate the presence of skin cancers in the expected field of treatment. In addition to AKs of Grades 1 (mild) or 2 (moderate), Grade 3 (hyperkeratotic) AKs, biopsy-proven SCC *in situ* (SCCIS), or superficial BCC (sBCC) can also be treated with PDT. Lesions suspicious for melanoma, invasive SCC, or nonsuperficial BCC should be biopsied and treated using other modalities. The presence or absence of a history of herpes simplex should be confirmed, and valacyclovir or famciclovir should be provided in advance of PDT if the patient has a positive history for herpes.

**Step 2. Education.** Before patients are treated with PDT, they should be thoroughly educated about the procedure and provided with instructions or an educational handout. The concept of subclinical lesions should also be explained, emphasizing that if the patient can see four lesions, there may be 20 more that they cannot see.<sup>69</sup> The diagnosis for treatment, the area to be treated, and correct preparation should all be confirmed. The area to be treated can be photodocumented, and written informed consent should be obtained. Patients should be informed that they will be photoreactive for 24 to 48 hours and that they should avoid any blue light and sunlight. A list of possible sources of blue light and UV light should be provided as part of preoperative/postoperative instructions. Patients should also be advised not to schedule any social events and to avoid excessive exposure to the sun for 1 to 2 days after their procedure. It may also be useful to have the



patient initiate an antihistamine regimen (e.g., cetirizine or another nonsedating agent) two days prior to treatment ALA-PDT has been shown to promote the release of histamine.<sup>70</sup> Pretreatment with retinoids or topical 5-FU may be used days before the treatment day.<sup>60,71,72</sup>

**Step 3. Skin preparation.** Before applying the ALA 10% gel, the face should be degreased using an ethanol- or isopropanol-soaked gauze scrub. Hyperkeratotic AKs may be curetted or debrided (e.g., with medical-grade sandpaper) to improve ALA penetration. Care should be taken to avoid causing bleeding while preparing the skin.<sup>5</sup> Fractionated lasers, microneedling, and microdermabrasion could be used during skin preparation to increase the absorption of the photosensitizer.<sup>73–75</sup>

**Step 4. ALA 10% gel application and incubation.** ALA 10% gel can be dispensed from the tube directly to the skin, indirectly with a spatula, or indirectly with a gloved hand. It should not be used on the skin of the eyelids, periorbital areas, and oral or intravaginal mucosa. Per the US prescribing information, the gel is applied 1mm thick, allowed to dry for 10 minutes, and then occluded with a light-blocking dressing during the incubation period.<sup>17</sup> In clinical practice in the US, ALA 10% gel is generally spread thinner than 1mm, allowing a single 2g tube to accommodate a full face or a full scalp. It should be noted that the individual Healthcare Common Procedure Coding System for ALA 10% gel is based on 10mg (which equals 1 unit). One tube of ALA 10% gel contains 2,000mg, so when using an entire tube, 200 units have to be reported in the claim form.<sup>76</sup>

The FDA approved a three-hour incubation period for ALA 10% gel. However, a 1- to 1.5-hour incubation has been shown to be effective in clinical practice but represents an off-label use of the product. A rigid comparison study of the effect of incubation time with ALA 10% gel is needed. In clinical practice in the US, the face is not routinely occluded, and occlusion of the scalp, chest, arms, legs, hands, and back is at the physician's discretion. Longer incubation times for 2 to 3 hours and/or an occlusive dressing may be employed for the extremities or for harder-to-treat areas.<sup>18</sup> Occlusion during incubation may be beneficial due to the creation of a moist chamber effect and possible increase in local temperature.<sup>77</sup> Warming past physiological temperature increases apoptosis,

which might decrease incubation time by up to one-third. Porphyrin synthesis is profoundly temperature-sensitive, and research has shown that, with ALA-PDT, increasing the temperature of healthy skin by approximately 10°C during ALA-PDT for AKs increases porphyrin production and improves outcomes for patients being treated for AKs.<sup>78,79</sup>

**Step 5. Illumination.** Immediately after removing the occlusive dressing and any remaining gel, the treatment area should be illuminated with a light source that activates ALA 10% gel. The FDA-approved light source is BF-RhodoLED, a red-light source with a narrowband wavelength spectrum around 635 nm that delivers a light dose of approximately 37J/cm<sup>2</sup> within 10 minutes. Calibration by the operator is not needed; the illumination time is calculated automatically. First, the lamp head should be positioned 5 to 8cm from the skin surface. When an area of 8×18cm is illuminated, the effective treatment area is 6×16cm. Larger areas can be illuminated in several steps. In clinical practice, the lamp head is pulled back 4 to 6 inches so that half a face can be treated in one illumination and the other half can be addressed in a second illumination. When treating the scalp, pulling the lamp back may allow for a single illumination versus two. However, by pulling the lamp back, the light energy dose will be reduced. To avoid eye irritation, glare, or injury, protective eye equipment must be used by the patient, healthcare providers, and any person present during the illumination period. Do not stare into the light source. The operator and other persons present must wear specific wavelength-protective glasses with a visible light transmission of approximately 10 percent. The patient must wear specific-wavelength eye protection, such as disposable eye protection pads or eye caps, with an optical density for visible light of 6 or higher. Both options are effective and comfortable for use during treatment.

For pain management, the patient's skin can be cooled with a stationary and/or handheld fan and cool water in the form of a mist or intermittent compress or with cold air cooling; patients can be given a stress ball to squeeze. Fans should be positioned in the treatment room to provide air movement. Illumination may be paused if the patient is too uncomfortable. Prior to PDT, patients typically

can use a topical anesthetic, which can also be used for the management of postoperative pain.

As per the Ameluz prescribing information, lesions that have not completely resolved 12 weeks after the initial treatment should be retreated. As noted above, patients who have received an organ transplant may benefit from cyclic treatment at a shorter interval.<sup>64,66,67,80,81</sup>

**Step 6. Post-PDT care instructions.** A postcare sheet should be given to patients to read during the incubation period. The patient should be instructed to avoid blue light sources, such as digital screens and electronic devices, and UV light sources, such as extensive sun exposure and tanning beds, for two days after PDT treatment. Suggested components of postoperative care are varied. One suggestion includes a soothing spray to apply daily for seven days after treatment to help manage any burning or discomfort.<sup>69</sup> Thermal spring water, which is a low-mineral-content spring water, can also be useful after ALA-PDT and is effective for reducing postprocedure cutaneous inflammation and patient discomfort.<sup>82</sup> Each provider may recommend their choice of soothing creams and/or sunscreens. Adverse events that have occurred in 10 percent of patients with AKs treated with ALA 10% gel include transient application site erythema, pain/burning, irritation, edema, pruritus, exfoliation, scab, induration, and vesicles.<sup>17</sup>

## NON-MELANOMA SKIN CANCER

PDT is an established treatment for superficial and thin nodular BCC but remains an off-label therapy in the US. In general, PDT should not be used on thick BCC lesions or more aggressive basosquamous, morpheaform, or infiltrating subtypes. PDT has demonstrated efficacy in the treatment of Bowen's disease/SCCIS and is recommended for managing large lesions in cosmetically sensitive areas and sites with the potential for poor healing.<sup>13</sup> Treatment of both BCC and SCCIS with PDT is approved in the European Union and frequently used off-label in the US.

**Exiting guidelines.** A summary of international guidelines supports the following consensus recommendations regarding the use of PDT in NMSC:<sup>13</sup> ALA-PDT is effective for the treatment of selected BCC, offering an advantage in the treatment of large or multiple superficial BCC lesions or thin nodular BCC. Good cosmetic results have been noted with PDT for

**TABLE 3.** Steps in the use of photodynamic therapy with 5-aminolevulinic acid 10% gel for the treatment of non-melanoma skin cancer**PATIENT SELECTION AND EDUCATION**

- Clinical staff should review the treatment protocol and location of lesions with the patient and obtain written informed consent.
- Photographs should be taken prior to PDT and all lesions should be measured prior to treatment.
- Education should be generally similar to that for patients with AKs.
- A skin biopsy for histological assessment is necessary and recommended prior to PDT:
  - One biopsy is considered sufficient in patients with Gorlin syndrome (nevroid BCC syndrome).
  - Reflectance confocal microscopy or optical coherence tomography may also be used in lieu of a biopsy if available and acceptable to insurers.
- Prior to PDT patients typically can use a topical anesthetic, which can also be used for management of postoperative pain.

**SKIN PREPARATION**

- Patients should have an ethyl alcohol- or isopropanol-soaked gauze scrub for degreasing.
- Debridement/debulking:
  - Preparation can include curettage or debridement with medical-grade sandpaper.
  - Light curettage can be carried out to debulk and should be extended to about 2 mm beyond the lesion to increase penetration of the photosensitizer.
- Fractionated erbium-doped yttrium aluminium garnet or CO<sub>2</sub> lasers (5%–10%), microneedling, and microdermabrasion could be used during skin preparation step to increase the absorption of the photosensitizer.
- Local anesthesia:
  - Prior to PDT, patients typically can use a topical anesthetic, which can also be used for management of postoperative pain.
  - All lesions that necessitate deep curettage or where a multipuncture technique is used, can be treated under local anesthesia.
    - Local anesthesia can be achieved with either intralesional lidocaine 1% or bupivacaine to provide a longer-lasting anesthetic effect.

**ALA 10% GEL APPLICATION AND INCUBATION**

- ALA 10% gel should be applied liberally to the lesion and perilesional skin and occluded with an occlusive dressing.
- Incubation should be carried out for three hours.
- Patients may leave the office and return if desired as long as they are photo-protected.

**ILLUMINATION**

- Illumination should be carried out with red light (37 J/cm<sup>2</sup>) per protocol as described for AKs.

**POST-PDT CARE INSTRUCTIONS**

- Treatment after PDT should include application of Aquaphor or petrolatum twice daily with occlusion.
- In the rare case of secondary infection, especially if the patient has a history of Staphylococcal infection, mupirocin ointment or ozenoxacin cream should be applied.
- Use of compression stockings should be considered in patients who have leg lesions and are not ambulatory or have pre-existing leg edema.
- Patients should also be advised to avoid sunlight and to use sunscreen for 24 to 48 hours.
- Follow-up:
  - An office visit should be scheduled two to four weeks after treatment to assess wound healing, and then at three to four months and again at six months:
    - If lesions are visible, PDT should be repeated as described above.
    - Biopsy is generally not necessary for detection of persistence but may be carried out for suspicious or symptomatic lesions.
  - Patients should be followed closely for at least five years.

*Abbreviations:* AK, actinic keratosis; ALA, 5-aminolevulinic acid; PDT, photodynamic therapy.

BCC. There are only limited data regarding the efficacy of PDT for primary cutaneous invasive SCC, but guidelines typically advise against its use. PDT is also an effective therapy for Bowen's disease/SCCIS.<sup>83</sup> PDT is considered to have the efficacy equivalent to that of cryotherapy and equivalent or superior efficacy to that of topical 5-FU. The cosmetic outcome is considered superior to that seen with standard therapy. PDT may be considered for treatment of large NMSC lesion areas and lesions located on cosmetically sensitive areas, at poor healing sites, or for which surgery is considered inappropriate.<sup>13</sup> PDT is also a treatment option for patients with multiple lesions, such as organ-transplant recipients,<sup>67</sup> or for patients with comorbidities that may prevent ideal healing of large closures and skin grafts.

**Evidence supporting PDT for the treatment of NMSC.** PDT has been shown to be generally more effective for superficial BCC than for nodular BCC or smaller lesions measuring less than 2cm.<sup>84</sup> In a recent randomized, Phase III trial that included 281 patients, 138 were treated with ALA 10% gel and 143 were treated with MAL and red-light PDT. Patients received two PDT sessions one week apart, and any remaining lesions after 12 weeks were retreated with a third PDT session. In the ALA 10% gel group, 93.4 percent were complete responders compared to 91.8 percent in the MAL group. Overall, treatment of nonaggressive BCC with ALA 10% gel was shown to be highly effective and well tolerated, with low recurrence rates

at one year of follow, and it was found to be noninferior to MAL-PDT.<sup>85</sup> In the longest follow-up of any study to date, which spanned 10 years, the overall complete response rate was 75 percent for all subtypes of BCC treated with ALA-PDT, with a 60-percent complete response after one treatment and 87-percent response after two treatments.<sup>86</sup> Other studies have shown ALA gel 10% PDT to be a safe and noninvasive treatment option for both SCCIS and for sBCC and that it provides better results than MAL-PDT.<sup>87–89</sup> Another recent study in which patients were treated with ALA 20% solution for PDT of SCCIS reported that longer incubation times with ALA, smaller tumor diameters, and location on the face were associated with increased efficacy.<sup>90</sup>

**Practical guide for the use of ALA-PDT in the treatment of NMSC.** A summary of the following guidance for the use of ALA 10% gel PDT in the treatment of NMSC is provided in Table 3.

**Step 1. Patient selection and patient education.** Clinical staff should review the treatment protocol and location of lesions with the patient and obtain written informed consent. Photographs can be taken prior to PDT, and all lesions should be measured prior to treatment. Education is similar to patients with AKs.

A skin biopsy for histological assessment is necessary and recommended prior to PDT. One biopsy is considered sufficient in patients with Gorlin syndrome (nevoid BCC syndrome).

**Step 2. Skin preparation.** Patients should have an ethanol- or isopropanol-soaked gauze scrub for degreasing. Preparation can include curettage or debridement with medical-grade sandpaper tape. Light curettage or microdermabrasion may be carried out for debulking so that the surface of the tumor is equivalent to the surface of healthy skin to increase penetration of the photosensitizer. Fractional erbium-doped yttrium aluminium garnet laser, CO<sub>2</sub> lasers (5%–10%), or microneedling may be employed as an alternative to curettage on areas such as the dorsal foot or leg, which may heal slowly after curettage.

All lesions that necessitate deep curettage or where multiple puncture techniques are used can be treated under local anesthesia. Local anesthesia can be achieved with either intralesional lidocaine 1% or bupivacaine to provide a longer-lasting anesthetic effect.

**Step 3. ALA 10% gel application and incubation.** ALA 10% gel should be applied liberally (~1 mm thickness) to the lesion and perilesional skin and covered with an occlusive dressing. Incubation should be carried out for three hours for NMSCs. Patients may leave the office and return, if desired, so long as they are photoprotected.

**Step 4. Illumination.** Illumination should be carried out with red light (37 J/cm<sup>2</sup>) per protocol as described above. Red and blue light in combination with a pulsed-dye laser and intense pulsed laser (IPL) also have been shown to be effective energy sources for treating NMSC with PDT.<sup>91</sup> Eye protection should be used as described above for AKs.

For pain management, a topical anesthetic can typically be applied before and after to PDT. For selected patients, pain can be managed with injections of 2 to 3cc of intralesional plain lidocaine. The administration of lidocaine with epinephrine should not be used to prolong the action of local anesthetics during illumination since associated vasoconstriction may decrease oxygen supply to the skin and compromise the effectiveness of PDT.<sup>92</sup> The use of a fan, cold air cooling, and short breaks in illumination can also be used to manage treatment-related pain during PDT. Pain during illumination may limit the use and effectiveness of PDT as patients may not be able to tolerate multiple treatments or a full treatment session. Pain intensity during the procedure may be monitored and measured using a 10-point visual analog scale score.

Regarding frequency of treatment, in clinical studies, treatment with ALA-PDT for NMSC has been administered as a single session or two treatments spaced 7 to 30 days apart. Retreatment intervals ranged from six weeks to three months.<sup>93</sup>

**Step 5. Post-PDT care instructions.** Patients should also be advised to avoid sunlight and to use sunscreen for 24 to 48 hours. Treatment after PDT should include the application of petrolatum ointment twice daily with occlusion. In the rare case of secondary infection, especially if the patient has a history of Staphylococcal infection, mupirocin ointment or ozenoxacin cream should be applied. Use of compression stockings should be considered in patients who have leg lesions and are not ambulatory or who have pre-existing leg edema.

Follow-up can be carried out by phone in the immediate post-treatment period, and an office visit should be scheduled two to four weeks after treatment to assess wound healing, then at three to four months and again at six months. If lesions are visible, PDT should be repeated as described above. Biopsy is generally not necessary for the detection of persistence but may be carried out for suspicious or symptomatic lesions. Patients should be followed closely for at least five years.

## ACNE

PDT is a useful treatment alternative for patients with mild, moderate, and severe acne who have not responded to standard topical and oral medications and who may

not be candidates for isotretinoin.<sup>94</sup> PDT has been widely studied for the management of inflammatory acne and has also been shown to improve comedonal acne.<sup>94</sup> This modality can be used in conjunction with long-term topical acne therapies (interrupted during PDT) and may be an optimal alternative for patients who cannot tolerate systemic therapies.<sup>94</sup>

PDT has several actions that may contribute to its efficacy in the treatment of acne. ALA-PDT directly targets multiple pathophysiologic factors in acne, including destruction of sebaceous gland lobules, sebocyte death, and downregulation of Toll-like receptors (TLR2, TLR4) that trigger inflammation, as well as the inhibition of sebaceous gland function and increased keratinocyte shedding in the pilosebaceous unit involved in comedogenesis.<sup>95–97</sup> PDT may also have antimicrobial effects, but a reduction in *Cutibacterium acnes* has not been consistently observed with this treatment.<sup>98–102</sup>

**Existing guidelines.** PDT is not currently approved by the FDA for the treatment of acne. Nevertheless, the most recently published American Academy of Dermatology acne guidelines notes that this treatment approach shows great promise and suggests that additional studies are needed to determine the optimal photosensitizer, incubation time, and light source.<sup>103</sup> European evidence-based (S3) guidelines for the treatment of acne state that, due to a lack of sufficient evidence, it is currently not possible to make a recommendation for or against maintenance treatment with red light, blue light, IPL, or PDT.<sup>104</sup> It should also be noted that generally accepted guidelines for PDT treatment of pediatric patients with acne are lacking.

**Evidence supporting ALA-PDT for the treatment of acne.** Light therapy and lasers have been used together with various photosensitizers for the treatment of acne.<sup>47,94,105–107</sup> The combination of ALA and red- or blue-light irradiation, with either lasers or other light sources, has been repeatedly demonstrated to be effective for acne treatment.<sup>47,95,98,108–112</sup> Red light may be more beneficial over blue light given the increased depth of penetration with red light and the need to reach the pilosebaceous unit to clear acne lesions.<sup>6</sup> Studies have also demonstrated that PDT using a photosensitizer is superior to light therapy alone for the treatment of acne.<sup>113,114</sup>

**TABLE 4.** Steps in the use of photodynamic therapy with 5-aminolevulinic acid 10% gel for the treatment of acne vulgaris.

**PATIENT SELECTION AND EDUCATION**

- Patients with acne most suited for first-line ALA 10% gel PDT include those who:
  - Are actively trying to become pregnant
  - Have failed traditional topical therapy and are reluctant to use systemic therapy
  - Are candidates for isotretinoin, but refuse to take it for safety concerns
  - Have failed all traditional acne therapy
- Education:
  - Patients should be informed about adverse events that might be associated with treatment.
  - They should be told that they may need to continue with oral and/or topical medications to control their acne.
  - They should be informed that PDT may not work for everyone or may only work on deep lesions, resulting in periodic superficial breakouts.
- Prior to PDT, patients typically can use a topical anesthetic, which can also be used for management of postoperative pain.

**SKIN PREPARATION**

- The aims of skin preparation are to remove any oils, moisture, and foreign particles and to optimize the environment for deeper penetration of ALA.
- Before applying the ALA 10% gel, the face is delipidated using an alcohol- or isopropanol-soaked gauze scrub.

**ALA 10% GEL APPLICATION AND INCUBATION**

- ALA 10% gel is applied as described for AKs for one to three hours with or without occlusion.

**ILLUMINATION**

- Illumination and patient protection are as described for AKs.

**POST-PDT CARE INSTRUCTIONS**

- Patients should use a noncomedogenic cleanser and moisturizer at least once daily.
  - Suitable agents include salicylic acid and alpha-hydroxy acid combined with beta-hydroxy acid cleansers.
- Sunscreen should be re applied as necessary during waking hours for the first two days after the procedure and the patient should be instructed to avoid blue lights, ultraviolet lights, extensive sun exposure, and tanning beds for two days after PDT treatment.
- Treatment may require more than one treatment, which is usually spaced out every four to eight weeks.

*Abbreviations:* AK, actinic keratosis; ALA, 5-aminolevulinic acid; PDT, photodynamic therapy.

There is also evidence that PDT with ALA and red-light irradiation is significantly superior to oral doxycycline (100mg/day) plus adapalene gel 0.1% for decreasing inflammatory and total lesions in patients with moderate inflammatory facial acne.<sup>115</sup> The addition of PDT to minocycline treatment has been shown to significantly reduce the number of inflammatory and noninflammatory lesions, increase the percentage of patients achieving an Investigator Global Assessment score of less than two points (mild), and improve patient quality of life as assessed by the Dermatology Life Quality Index versus minocycline alone.<sup>116</sup> It should also be noted that ALA can simply be combined with exposure to daylight for the treatment of acne.<sup>117</sup> At present, there are no published results describing the efficacy and safety of ALA 10% gel for the treatment of acne.

**Practical guidance for the use of ALA-PDT in the treatment of acne.** Some clinicians use ALA-PDT as first-line therapy in the treatment of moderate-to-severe inflammatory acne vulgaris, while others adopt this therapy if routine medical care has failed or is inadequate to achieve acne clearance.<sup>118,119</sup> It is also a useful alternative for patients who

cannot tolerate commonly used topical or systemic acne treatments,<sup>120</sup> those who do not want to take antibiotics or isotretinoin, and women wanting to become pregnant. Numerous investigations have shown that blue-light sources, potassium titanyl phosphate lasers, pulsed-dye lasers, IPL sources, and red light at 630nm can all be used to activate ALA.<sup>121</sup> A summary of the following guide for the use of PDT with ALA 10% gel in the treatment of acne is provided in Table 4.

**Step 1. Patient selection and education.**

Patients with acne most suited for first-line ALA 10% gel PDT include those actively trying to become pregnant, patients who have failed traditional topical therapy and are reluctant to use systemic therapy, patients who are candidates for isotretinoin but who refuse to take it for safety concerns, and patients who have failed traditional acne therapies.

Patients should be informed about potential adverse events and that they may have to continue with oral and/or topical medications to control their acne. In addition, they should be informed that PDT may not work for everyone or may only work on deep lesions, resulting in periodic superficial breakouts.

**Step 2. Skin preparation.** The aim of skin preparation is to remove any oils, moisture, and foreign particles from the skin surface, optimizing the environment for deeper penetration of ALA.<sup>96</sup> Before applying the ALA 10% gel, the face should be degreased using an ethanol- or isopropanol-soaked gauze scrub.

**Step 3. ALA 10% gel application incubation.** ALA 10% gel is applied as described for AKs for 1 to 3 hours with or without occlusion.

**Step 4. Illumination.** Illumination and patient protection are performed as described for AKs.

Regarding pain management, younger patients are likely to have less UV-associated skin damage than older individuals, making pain less of an issue.<sup>122</sup> The use of a fan, cold air cooling, and possible short breaks in illumination can also be used to manage treatment-related pain during PDT. Some patients may not be able to tolerate multiple treatments or a full treatment session due to pain during illumination, which can limit the use and effectiveness of PDT. Pain intensity during the procedure can be monitored and measured using a 10-point visual analog scale score. Topical anesthetic typically can be applied before and after PDT. Results from one recent small-scale study that used a 5% ALA



cream in acne patients showed that shortening the incubation time from 90 to 30 minutes did not adversely affect efficacy and resulted in “nearly painless” treatment.<sup>120</sup>

Regarding frequency of treatment, some patients achieve significant efficacy with a single treatment, while others require additional sessions. There is no specific guidance regarding the optimal interval between treatments, but intervals ranging from weekly to once every 4 to 8 weeks have been employed in clinical trials.<sup>6</sup>

**Step 5. Post-PDT care instructions.** Patients should use a noncomedogenic cleanser and moisturizer at least once daily. Suitable agents include salicylic acid and alpha-hydroxy acid combined with beta-hydroxy acid cleansers. Sunscreen should be used and reapplied as necessary during waking hours for the first two days after the procedure, and the patient should be instructed to avoid sources of blue light, such as digital screens and electronic devices, or UV light sources, including extensive sun exposure and tanning beds, for two days post-treatment. Adverse events associated with ALA-PDT for acne may include moderate-to-severe pain, acneiform eruptions (starting about 2 days after treatment and lasting about 3 days), erythema, edema, crusting, transient acne flares, hyperpigmentation, exfoliation, and rare blistering or contact hypersensitivity.<sup>95</sup>

## CONCLUSION

PDT is an effective modality for the treatment of AK, NMSC, and acne; however, while PDT has received FDA-approval for the treatment of AK, it remains off-label for NMSC and acne. PDT can be delivered with any of several different photosensitizers, light sources, and in combination with a wide range of other topical treatments. While the use of ALA-PDT for the AK, NMSC, and acne is well-supported by controlled clinical trials, there is a paucity of detailed guidance on how to employ this therapy in clinical practice. This article focused on providing such guidance for ALA 10% gel PDT monotherapy. We hope that our advice will encourage dermatologists to consider employing this therapeutic alternative in appropriately selected patients.

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